



Oversight and Governance

Chief Executive's Department
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AUDIT AND GOVERNANCE COMMITTEE

Monday 28 November 2022
2.00 pm
Warspite Room, Council House

Members:

Councillor Luggar, Chair
Councillor Lowry, Vice Chair
Councillors Evans OBE, Finn and Tofan.

Independent Members: Mrs Annette Benny and Mr Ian Shipperley.

Members are invited to attend the above meeting to consider the items of business overleaf.

For further information on attending Council meetings and how to engage in the democratic process please follow this link - [Get Involved](#)

Tracey Lee
Chief Executive

Audit and Governance Committee

Agenda

1. Apologies

To receive apologies for non-attendance submitted by Committee Members.

2. Declarations of Interest

Members will be asked to make any declarations of interest in respect of items on this Agenda.

3. Minutes (Pages 1 - 12)

To confirm the minutes of the meetings held on 5 September 2022, and 29 September 2022.

4. Chair's Urgent Business

To receive reports on business which, in the opinion of the Chair, should be brought forward for urgent consideration.

5. External Audit - Auditor's 20/21 and 21/22 Annual Report: (Pages 13 - 52)

6. External Auditor - Audit Plan 2020/21: (Pages 53 - 80)

7. Internal Audit Half Year Report: (Pages 81 - 100)

8. Risk Management Strategy - To Follow:

9. Risk Management Monitoring Report (to include scrutiny update on risk management) - To Follow:

10. Treasury Management Strategy: (Pages 101 - 138)

11. Capital Finance Strategy: (Pages 139 - 148)

12. Counter Fraud Services Half Year Report: (Pages 149 - 168)

13. Tracking Decisions: (Pages 169 - 172)

14. Work Programme: (Pages 173 - 178)

15. Exempt Business

To consider passing a resolution under Section 100A(4) of the Local Government Act

1972 to exclude the press and public from the meeting for the following item(s) of business on the grounds that it (they) involve the likely disclosure of exempt information as defined in paragraph(s) 1,2 and 7 of Part I of Schedule 12A of the Act, as amended by the Freedom of Information Act 2000

PART II (PRIVATE MEETING)

AGENDA

MEMBERS OF THE PUBLIC TO NOTE

that under the law, the Committee is entitled to consider certain items in private. Members of the public will be asked to leave the meeting when such items are discussed.

16. Information Governance Report 2021/22:

(Pages 179 - 190)