



**Oversight and Governance**

Chief Executive's Department  
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**AUDIT AND GOVERNANCE COMMITTEE**

Monday 20 March 2023  
1.00 pm  
Warspite Room, Council House

**Members:**

Councillor Luggar, Chair  
Councillor Lowry, Vice Chair  
Councillors Evans OBE, Tofan and Wheeler.  
Independent Member – Mrs Annette Benny

Members are invited to attend the above meeting to consider the items of business overleaf.

For further information on attending Council meetings and how to engage in the democratic process please follow this link - [Get Involved](#)

**Tracey Lee**  
Chief Executive

## **Audit and Governance Committee**

### **Agenda**

**1. Apologies**

To receive apologies for non-attendance submitted by Committee Members.

**2. Declarations of Interest**

Members will be asked to make any declarations of interest in respect of items on this Agenda.

**3. Minutes**

**(Pages 1 - 8)**

To confirm the minutes of the meeting held on 16 January 2023.

**4. Chair's Urgent Business**

To receive reports on business which, in the opinion of the Chair, should be brought forward for urgent consideration.

**5. External Audit - Audit Progress Report and Sector Update**

**(Pages 9 - 22)**

**6. External Auditor - Housing Benefits Update - Verbal Update**

**7. External Auditor - Updated Auditor's Annual Report 20/21 and 21/22**

**(To Follow)**

**8. Audit Actions Review**

**(Pages 23 - 30)**

**9. Informing the Audit Risk Assessment 2020/21**

**(Pages 31 - 66)**

**10. 14 Point Action Plan and Report**

**(Pages 67 - 96)**

**11. Internal Audit Plan 2023/24**

**(Pages 97 - 110)**

**12. Whistleblowing Policy**

**(To Follow)**

**13. Treasury Management Practices and Principles**

**(To Follow)**

**14. Review of Council Officers Code of Conduct**

**(Pages 111 - 132)**

**15. Review of Arrangements for Dealing with Complaints Through the Code of Conduct**

**(To Follow)**

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|--|--------------------------|
| <b>16. Risk Management Update/ Risk Register</b> | <b>(To Follow)</b>       |
| <b>17. Tracking Decisions</b>                    | <b>(Pages 133 - 136)</b> |
| <b>18. Work Programme</b>                        | <b>(Pages 137 - 138)</b> |