

Audit and Governance Committee

Draft Work Programme 2021 - 2022



Please note that the work programme is a 'live' document and subject to change at short notice.

For general enquiries relating to the Audit and Governance Committee, including this Committee's work programme, please contact Helen Rickman, Democratic Advisor on 01752 398444.

Date of meeting	Agenda item	Responsible Officer	Reason for consideration
26 July 2021	Governance Review	Grant Thornton	Presentation of the final report from the audit review by GT and the agreed Action Points.
	Audit Progress Report and Sector Update	Grant Thornton	To receive a progress and sector update from the external auditor.
	Audit Actions Review	Paul Looby	A new regular report that will track the attainment of audit actions from all audit reports whether from DAP, GT or other review agencies
	Internal Audit End of Year Report 2020/21	Brenda Davies	The Internal Auditor's overall assessment of the 2020/21 financial year.
	Internal Audit Charter and Strategy (a refresh)	Brenda Davies	A review presented annually.
	End of Year report for Counter Fraud 2020/21	Ken Johnson	A report which sets out the incidence/performance in the counter fraud arena in 2020/21
	A & G Committee Training Programme	Andrew Loton	This item sets out proposals for the delivery of training for the Committee
	Treasury Management Report 2020/21	Chris Flower	A review of treasury management activity and performance in 2020/21.

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	Strategic Risk Register	Rob Sowden	To receive the strategic risks of the council.
	Health and Safety Annual Report	Clare Cotter	An annual report presented to the committee.
27 September 2021 11 October 2021	Internal Audit Progress Report	Brenda Davis	To note the findings in the report which provides Members with a position statement on the audit work carried out since April 2021.
	Whistleblowing Policy (a refresh)	Brenda Davis' Ken Johnston	To note the changes and approve the updated Whistleblowing Policy.
	Updated Anti-Fraud, Bribery and Corruption Policy and Updated Anti-Fraud, Bribery and Corruption Strategy and Response Plan	Brenda Davis/ Ken Johnston	To agree changes to both documents to support the Council's ongoing commitment in reducing fraud.
	Executive Decision Governance Route	Andrew Loton	New guidance relating to the handling of key decisions for discussion by the committee.
	Statement of Accounts 2020/21	Paul Looby & Carolyn Haynes	The Statement of Accounts 2020/21 are being presented for approval. The Accounts and Audit Regulations require the accounts to be formally approved and published on or before 30 September 2021.
	Annual Governance Statement (AGS) 2020/21	Rob Sowden	To approve the Annual Governance Statement (statutory document required by Accounts and Audit Regulations).
	Performance and Accountability System – Approach to Performance Management	Rob Sowden	To note the Performance and Accountability System that outlines the Council's approach to Corporate Performance Management.

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	Local Government Ombudsman Report	Giles Perritt	To receive an update on key findings of analysis into complaints relating to Plymouth that have been received by the Local Government Ombudsman (LGO).
	Audit Actions Review	Paul Looby	To provide the Committee with an update on the tracking of Audit recommendations from Grant Thornton and Devon Audit Partnership.
	External Auditor – Audit Plan 2020/21	Geri Daly/ Paul Dossett (Grant Thornton)	To receive a report from the Council's external auditor.
29 November 2021	Internal Audit Half Year Report	Brenda Davis	
	Counter Fraud Team Half Year Report	Ken Johnston	
	Treasury Management Strategy 2022/23	Chris Flower	
	Final Accounts 2019/20	Paul Looby/ Carolyn Haynes	To be confirmed.
	Working Group Update: Terms of Reference Review	Andrew Loton	
	Audit Findings Report 2019/20	Geri Daly/ Paul Dossett (Grant Thornton)	
	External Audit Progress Report	Geri Daly/ Paul Dossett (Grant Thornton)	
	Audit Plan 2020/21	Geri Daly/ Paul Dossett (Grant Thornton)	

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28 March 2022	2022/23 Internal Audit Plan	Brenda Davis	
	Member Development	Head of Governance Performance and Risk	To agree the programme of member development for the following municipal year.
	Treasury Management Practices and Principles	Chris Flower	A review produced annually of practices and principles used to conduct TM activities.
	External Audit Progress Report	Geri Daly/ Paul Dossett (Grant Thornton)	