

# Audit and Governance Committee

Draft Work Programme 2021 - 2022



**Please note that the work programme is a 'live' document and subject to change at short notice.**

For general enquiries relating to the Audit and Governance Committee, including this Committee's work programme, please contact Helen Rickman, Democratic Advisor on 01752 398444.

Date of meeting	Agenda item	Responsible Officer	Reason for consideration
<b>29 November 2021</b>	Internal Audit Half Year Report	Brenda Davis	Half year report updating Members on a position statement on the audit work carried out since April 2021.
	Counter Fraud Services Half Year Report 2021-22	Ken Johnston	Half year report to summarise the work carried out during the first 6 months of 2021/22 by the Counter Fraud Services Team.
	Treasury Management Strategy 2022/23	Chris Flower	To set out the Treasury Management Strategy for 2022/23 and include the Annual Investment Strategy, the Non-Treasury Management Investment Strategy and the Minimum Revenue Provision Statement.
	Capital Finance Strategy 2022/23	Chris Flower	To set out the Capital Financing Strategy for 2022/23 (this is a requirement of The Chartered Institute of Public Finance and Accountancy (CIPFA) Code of Practice for Treasury Management in Public Services.)
	Executive Decisions Governance Route	Ross Jago	To consider additional guidance, relating to the governance applied to key decision making, which had been developed in line with recommendations made by the external auditors.

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	Working Group Update: Terms of Reference Review	Ross Jago	To provide an update on the working group which was set up to discuss the committee's terms of reference.
	Grant Thornton Audit Progress Report	Geri Daly/ Paul Dossett (Grant Thornton)	To consider the Audit Progress Report from the Council's external auditor, Grant Thornton.
	Grant Thornton - Audit Plan 2020/21	Geri Daly/ Paul Dossett (Grant Thornton)	To consider the Audit Plan Report from the Council's external auditor, Grant Thornton.
	Health & Wellbeing Board change to terms of reference	Ross Jago	To consider the change to the terms of reference of the Health & Wellbeing Board.
	Tamar Bridge and Torpoint Ferry – change to terms of reference	Ross Jago/ Adrian Trim	To consider the change to the terms of reference of the Tamar Bridge and Torpoint Ferry Joint Committee
	Audit Actions Review	Paul Looby	To provide the Committee with an update on the tracking of Audit recommendations from Grant Thornton and Devon Audit Partnership.
	Risk and Opportunity Management Strategy 2020-2022	Andrew Loton	The Risk Management Strategy outlined the approach to Risk Management and was refreshed annually. The Strategy covered the period 2020 to 2022.
	Risk Management Monitoring Report	Andrew Loton	To receive an update on both the Strategic and Operational Risk registers for the organisation. The register offers additional information including detail on Key Controls and Sources of Assurance and how progress against mitigation will be measured.

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<b>Additional Meeting – 14 January 2022</b>	Working Group Update: Terms of Reference Review	Ross Jago	To provide an update on the working group which was set up to discuss the committee's terms of reference.
	Appointment of External Auditor: Procurement Route	Brendan Arnold	To consider a report on the appointment of the External Auditor – procurement route
<b>28 March 2022</b>	Health & Wellbeing Board change to terms of reference	Ross Jago	To consider the change to the terms of reference of the Health & Wellbeing Board.  <i>To be added to the Sub Group</i>
	2022/23 Internal Audit Plan	Brenda Davis	
	Member Development	Ross Jago	To agree the programme of member development for the following municipal year.  <i>To be added to the Sub Group</i>
	Treasury Management Practices and Principles	David Northey	A review produced annually of practices and principles used to conduct TM activities.
	External Audit Progress Report	Paul Dossett (Grant Thornton)	
	Final Accounts 19/20	Paul Looby/ Carolyn Haynes	
	Risk & Opportunity Management Monitoring Report	Andrew Loton	
	Audit Actions Review	Paul Looby	
	Outside Body Review	Ross Jago	<i>To be added to the Sub Group</i>

<b>Date of meeting</b>	<b>Agenda item</b>	<b>Responsible Officer</b>	<b>Reason for consideration</b>
	Surveillance, Covert Activities and the Regulation of Investigatory Powers Act 2000 (RIPA)	John Finch	