

Audit and Governance Committee

Draft Work Programme 2022 - 2023



Please note that the work programme is a 'live' document and subject to change at short notice.

For general enquiries relating to the Audit and Governance Committee, including this Committee's work programme, please contact Helen Rickman, Democratic Advisor on 01752 398444.

Date of meeting	Agenda item	Responsible Officer	Reason for consideration
25 July 2022	Election Cycle Report	Giles Perritt/Glenda Favor Ankersen	To consider the Election Cycle Report.
	Counter Fraud Services Annual Report 21/22	Ken Johnson	To consider the work carried out during 2021/22 by the Counter Fraud Services Team at Devon Audit Partnership in order to counter fraudulent threats to the Council's budget and reputation.
	Risk Management Monitoring Report – May 2022	Rob Sowden	To consider the strategic risk register for the organisation including key controls, sources of assurance and how progress against mitigation will be measured.
	Health, Safety and Wellbeing Annual Report 2021/ 22	Clare Cotter	To consider an annual report on Health, Safety and Wellbeing.
	Internal Audit Annual Report	Brenda Davies	To consider the work undertaken by Devon Audit Partnership during 2021/22, and review the performance and effectiveness of the Internal Audit service and the audit opinion on the adequacy of the Authority's internal control environment.

Date of meeting	Agenda item	Responsible Officer	Reason for consideration
	Internal Audit Charter and Strategy 2022/ 23	Brenda Davies	To consider the Internal Audit Charter and Strategy as part of the requirement of the Public Sector Internal Audit Standards to ensure they are consistent with the Definition of Internal Auditing, the Code of Ethics and the Standards.
	External Audit Progress Report	Grant Thornton	To consider the Audit Progress Report from the Council's external auditor, Grant Thornton.
	DBS Policy	Ross Jago	
	Member Development and Training	Ross Jago	
	Audit Actions Review	Paul Looby	To provide the Committee with an update on the tracking of Audit recommendations from Grant Thornton and Devon Audit Partnership.
5 September 2022	Election Cycle Report	Giles Perrit/ Glenda Favor-Akerson	EGM – prior to submission to Council in September.
29 September 2022	Internal Audit Progress Report	Brenda Davies	To note the findings in the report which provides Members with a position statement on audit work carried out.
	Whistleblowing Policy (a refresh)	Brenda Davis/ Ken Johnston	To note the changes and approve the updated Whistleblowing Policy.
	Annual Governance Statement 2021/ 22	Ross Jago/ Rob Sowden	To approve the Annual Governance Statement (statutory document required by Accounts and Audit Regulations).
	External Audit – Progress Report	Grant Thornton	To consider the Audit Progress Report from the Council's external auditor, Grant Thornton.
	External Audit Findings Report 19/20	Grant Thornton	To consider the External Audit Findings Report 19/20 from the Council's external auditor, Grant Thornton.

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	External Audit – Auditors Annual Report 20/21	Grant Thornton	To consider the External Audit Findings Report 19/20 from the Council's external auditor, Grant Thornton.
	Audit Actions Review	Paul Looby	To provide the Committee with an update on the tracking of Audit recommendations from Grant Thornton and Devon Audit Partnership.
	Treasury Management Outturn Report 21/22	Paul Looby	
	Statement of Accounts 2021/ 22	Carolyn Haynes	The Statement of Accounts 2021/22 are being presented for approval. The Accounts and Audit Regulations require the accounts to be formally approved and published in September.
	Disclosure and Barring Checks for Councillors – Policy	Ross Jago	Deferred from July 2022 meeting
	Scrutiny – Risk Management Update	Ross Jago	This was a recommendation from a previous meeting.
28 November 2022	Treasury Management Strategy	Carolyn Haynes	To consider the Treasury Management Strategy.
	Information Governance Report	John Finch	To consider an annual report on Information Governance.
	Risk Management Strategy (and scrutiny risk)	Ross Jago	To consider a report on the Risk Management Strategy and Scrutiny Risk.
	Capital Finance Strategy	Brendan Arnold	To set out the Capital Financing Strategy for 2023/24 (this is a requirement of The Chartered Institute of Public Finance and Accountancy (CIPFA) Code of Practice for Treasury Management in Public Services.)

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	Counter Fraud Services Half Year Report	Ken Johnson	Half year report to summarise the work carried out during the first 6 months of 2022/23 by the Counter Fraud Services Team.
	Strategic and operational Risk Register	Ross Jago/Rob Sowden	To consider the Council's Strategic and Operational Risk Register.
	External Auditor – Audit Plan 2020/21 and 2021/22	Grant Thornton	To consider the Audit Plan 2020/21 and 2021/22 from the External Auditor.
	External Audit – Annual Report 2020/21	Grant Thornton	To consider the Annual Report 2020/21 from the External Auditor.
	Internal Audit Half Year Report	Brenda Davis	To consider the Council's Internal Audit Half Year Report.
16 January 2023	Strategic and Operational Risk Monitoring	Ross Jago/Rob Sowden	
	Scrutiny Update – Risk Management Update	Ross Jago	
	Whistleblowing Policy	Brenda Davis	Deferred from 29 September meeting.
	Disclosure and Barring Checks for Councillors - Policy	Ross Jago	Deferred from 29 September meeting.
20 March 2023	Audit Actions Review	Paul Looby	To provide the Committee with an update on the tracking of Audit recommendations from Grant Thornton and Devon Audit Partnership.
	External Audit – Progress Report	Grant Thornton	To consider the Audit Progress Report from the Council's external auditor, Grant Thornton.
	Treasury Management Practices and Principles	Wendy Eldridge	A review produced annually of practices and principles used to conduct TM activities.

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	Scrutiny Update – Risk Management Update	Ross Jago	

Items to be scheduled:

Review of Council's ICT Policy
Review of Council Officers Code of Conduct
Review of Arrangements for dealing with complaints through the code of conduct
Review of Risk Register