

Audit and Governance Committee



Date of meeting:	28 November 2022
Title of Report:	Risk Management Monitoring Report / Scrutiny Update – November 2022
Lead Member:	Councillor Mark Shayer (Deputy Leader and Cabinet Member for Finance and Economy)
Lead Strategic Director:	Giles Perritt (Assistant Chief Executive)
Author:	Ross Jago, Head of Governance Performance and Risk
Contact Email:	Ross.jago@plymouth.gov.uk
Your Reference:	RS/RMNov22
Key Decision:	No
Confidentiality:	Part I - Official

Purpose of Report

The attached report provides an update on both the Strategic register for the organisation.

Strategic Risk Register

During this review of the strategic risk register there have been three risks removed and one new risk added, bringing the total strategic risks managed to 20. The new risk relates to the viability of commercial bus operators with patronage not yet back to pre-Covid levels and the ending of Government Bus Recovery Grant in October (number 15 in appendix A table two).

This amber risk has been scored as ‘almost certain’ to happen and a ‘moderate risk’ to the operation of the council.

The three risks removed from the strategic register were:

- The Council is unable to fulfil its legal obligations regarding the safety of its citizens and service recipients.
- Staff resilience to causative factors of stress variable resulting in the primary reason for short and long term absences being due to stress / psychological factors; reduced morale, loss of productivity with impact on delivery. (Moved to operational risk)
- Departure from EU single market with The Trade and Cooperation Agreement could further decrease city economic output.

Risk @ Scrutiny

On the 10 October 2022 the **Performance Finance and Customer Focus Overview and Scrutiny Panel** met and considered the performance and risk report. The committee considered the three amber risks contained within the risk register regarding insufficient workforce resilience, the health, safety and wellbeing of the workforce, and the staff resilience. These risks would be considered in the update on the Returning to Work Safely – The Big Listen Update report at the 30 November

2022 scrutiny meeting. These risks would also be updated in the risk register when presented to the November committee.

The **Growth and Infrastructure Overview and Scrutiny Committee** also considered risk on the 10 October 2022. The committee noted the report having already scheduled risk areas on their work programme for consideration.

The **Education and Children's Social Care Overview and Scrutiny Committee** also discussed Risk at their meeting on the 13 October 2022, of particular concern was the Children's service budget. The Chair would be invited to the Performance, Finance and Customer Focus Scrutiny Committee to consider the in-year position and would report back to the committee. Other areas of risk, such as workforce were subject of the discussion on 13 October and would feature on future agendas.

On the 16 November 2022 the **Health and Adult Care Overview and Scrutiny Committee** considered risks pertinent to the committee. Concerning financial risks members were directed to the month 6 financial monitoring report due to be discussed at Council on the 21 November. It was confirmed that a report concerning Adult Social Care reforms (Risk 10) would be considered in the new calendar year.

Recommendations and Reasons

The Audit and Governance Committee is recommended to note the current position with regard to the Strategic Risk Register.

Reason: As part of the Committee's responsibility for monitoring the implementation and ongoing processes for identifying and managing key risks of the authority.

Alternative options considered and rejected

Effective risk management processes are an essential element of internal control and as such are an important element of good corporate governance. For this reason alternative options are not applicable.

Relevance to the Corporate Plan and/or the Plymouth Plan

The Strategic Risk and Opportunity Register includes links to the Corporate Plan priorities – monitoring of control action for strategic risks therefore contributes to the delivery of the council's core objectives.

Implications for the Medium Term Financial Plan and Resource Implications:

None arising specifically from this report but control measures identified in Directorate Operational Risk and Opportunity Registers could have financial or resource implications.

Financial Risks

None arising specifically from this report but control measures identified in Directorate Operational Risk and Opportunity Registers could have financial or resource implications.

Carbon Footprint (Environmental) Implications:

Failure to deliver against actions in the Climate Emergency Action Plan and Corporate Carbon Reduction Plan are included on risk registers.

Other Implications: e.g. Health and Safety, Risk Management, Child Poverty:

* When considering these proposals members have a responsibility to ensure they give due regard to the Council's duty to promote equality of opportunity, eliminate unlawful discrimination and promote good relations between people who share protected characteristics under the Equalities Act and those who do not.

The risk registers specifically supports the council's overall governance arrangements.

Appendices

*Add rows as required to box below

Ref.	Title of Appendix	Exemption Paragraph Number (if applicable) <i>If some/all of the information is confidential, you must indicate why it is not for publication by virtue of Part 1 of Schedule 12A of the Local Government Act 1972 by ticking the relevant box.</i>						
		1	2	3	4	5	6	7
A	Risk Monitoring Report							
B	Strategic Risk Register							

Background papers:

*Add rows as required to box below

Please list all unpublished, background papers relevant to the decision in the table below. Background papers are unpublished works, relied on to a material extent in preparing the report, which disclose facts or matters on which the report or an important part of the work is based.

Title of any background paper(s)	Exemption Paragraph Number (if applicable) <i>If some/all of the information is confidential, you must indicate why it is not for publication by virtue of Part 1 of Schedule 12A of the Local Government Act 1972 by ticking the relevant box.</i>						
	1	2	3	4	5	6	7

Sign off:

Fin	DJN. 22.23. 293	Leg	EJ/38 851/2 2.1.22 (1)	Mon Off		HR		Assets		Strat Proc	
Originating Senior Leadership Team member: Giles Perritt											
Please confirm the Strategic Director(s) has agreed the report? Yes											
Date agreed: 21/10/2022											
Cabinet Member approval: Councillor Mark Shayer (Deputy Leader and Cabinet Member for Finance and Economy)											
Date approved: 22/11/2022											