

# RISK MANAGEMENT MONITORING REPORT

January 2023



## 1. Introduction

- 1.1. This report provides the position with regard to the strategic and operational risk registers.
- 1.2. The next formal review of the strategic risk and opportunity register will take place in March 2023, the operational risk register will also be reviewed at this time. Risk registers are however a live document and will be regularly discussed at Directorate Management Teams.

## 2. Strategic Risk Register – Monitoring Summary

- 2.1. Two risks have been identified as sufficiently mitigated and have been moved to the operational register. These are -
  - The Council not meeting its obligation to keep data secure by failing to adhere to Data Protection Act 2018 Regulations.
  - The Council not meeting its legal obligations regarding the health, safety and wellbeing of its workforce.

## 3. Strategic Risk Register

- 3.1. There are 18 strategic risks currently being managed. In total there are five red risks, **Risk number two in table one** is pertinent to the committee and relates to possible failure to meet statutory duties due to growing volume and complexity of demand for children's social care services.

### 3.2 Strategic Risk update table one

Table one provides an update on strategic risks with mitigation that is fully influenced by Plymouth City Council, and pertinent to the Committees terms of reference.

**Table one – Strategic Risks fully mitigated by Plymouth City Council**

Risk Register No.	Description	Mitigation	Risk Score	Previous risk rating	Current risk rating	Risk Owner
2	<p><b>Failure to meet statutory duties due to growing volume and complexity of demand for children's social care services</b></p> <p>Pressures are building around the rate of inflation and cost of living incurred costs which Local Authority Foster Carers and Independent Providers are experiencing; this will have an additional impact on our sufficiency. We are likely to continue to feel the effects of further increases in the 2022/23 financial year, as we renew our annual contracts in April 2023. Predictions are that the 2023/24 financial year will also see increased impacts, therefore a 3% inflation is being built in on all fees for 2023/24.</p>	<ul style="list-style-type: none"> <li>Additional social work capacity agreed to support effectively delivery of casework. Recruitment is ongoing to some of these posts on a permanent basis.</li> <li>Fostering Project Delivery Plan in place to increase foster carer resource to reduce costs.</li> <li>High cost placement review takes place on a frequent basis each month to ensure all costs closely monitored and reduced.</li> <li>Ongoing rigour in decision making to manage demand via Placement Panel which takes place weekly and overseen by a dedicated service manager with responsibility for reducing costs of individual placements and ensuring timely step down.</li> <li>Ensuring action plan milestones are reached via monthly monitoring at Programme Board/Finance DMT.</li> <li>Service redesign to ensure an operating model that supports much earlier intervention and prevention of children coming into care wherever possible.</li> </ul>	20	Red	Red since July 2020	Sharon Muldoon

Risk Register No.	Description	Mitigation	Risk Score	Previous risk rating	Current risk rating	Risk Owner
20	<b>Risk to vulnerable children and young people in the care system, by not delivering early intervention and prevention.</b>	<ul style="list-style-type: none"> <li>Continue to drive forward change across the partnership in relation to whole family working, engagement with the Early Help Assessment Tool process, data exchange and achieving the outcomes required within the Supporting Families Outcomes Plan.</li> </ul>	6	<b>Green</b> ████████	<b>Green</b> ████████	Sharon Muldoon

### 3.3 Strategic Risk update table two

Table two provides an update on strategic risks with mitigation that is influenced by Plymouth City Council but is also dependent on other outside factors, pertinent to the committee.

No.	Description	Mitigation	Risk Score	Previous risk rating	Current risk rating	Risk Owner
13	<p><b>Insufficient pupil attainment to achieve economic / quality of life outcomes across the secondary cohort</b></p> <p>Plymouth's Secondary cohort has experienced many years of poor attainment outcomes. This affects future economic and quality of life outcomes. Despite the 'provisional' indication of improvement in</p>	<ul style="list-style-type: none"> <li>Plymouth Education Board (PEB) (and sub groups) has been reviewed with the new Board started, strengthening education partnership.</li> <li>School 'Causing Concern' procedure has been reviewed and strengthened. These have led to a partnership of distinct interventions to drive improvement and raise achievement.</li> <li>Cause for concern meetings, Early Years Board, Primary and Special School Partnership, Inclusion Strategy Board have added strength to the work governed by PEB.</li> <li>The programme to sign schools up to the city's Inclusion Charter begun in September. Eight schools have completed the process in terms 1 and 2.</li> </ul>	15	<b>Amber</b> ████████	<b>Amber</b> ████████	Sharon Muldoon

No.	Description	Mitigation	Risk Score	Previous risk rating	Current risk rating	Risk Owner
	educational outcomes this year (2021/22), improved outcomes needs to be embedded, consistent and sustainable over forthcoming years.	<ul style="list-style-type: none"> <li>- The Plymouth Standards Partnership Recovery &amp; Improvement Plan: A key priority is work to support disadvantaged pupils.</li> <li>- The work of the 'Plymouth Place Based Partnership' has entered its second phase with a three strand plan across the academic year focusing on inclusion, raising attainment in English &amp; maths, developing leadership and inclusion – particularly reducing mobility.</li> <li>- This year's (2022) Attainment 8 and Progress 8 outcomes are historically best in Plymouth since the accountability measures were introduced in 2014. There is not yet national figures to compare with. Plymouth achievement of the basics (5 or above in English and Maths for 2021/22 is 45.9% for England it is 49.8%). Please note that there is no trend data due to COVID.</li> </ul>				