

Audit and Governance Committee – Tracking Decisions 2022/23

Minute No.	Resolution	Progress
29 September 2022 Minute 32 Statement of Accounts 2021/22	The Committee <u>agreed</u> – (1) to approve the Statement of Accounts for 2021/22 (attached as Appendix B to the report) with the understanding that they are currently being audited by GTUK. Should any issues be identified during the GTUK audit Members are asked to delegate the approval of the Statement of Accounts to the Chairperson of the Audit and Governance Committee, in consultation with the Service Director for Finance; (2) that the Chair and Vice Chair would meet with relevant officers to discuss the detail of the accounts and any potential issues that may arise.	Date: October Progress: (1) Complete (2) A meeting is currently being arranged.
28 November 2022 Minute 39 External Auditor - Audit Plan 2020/21	The Committee agreed: 1. That a training session upon the roles and responsibilities of the Audit and Governance Committee would be arranged for the benefit of new members, and would also be considered as part of the inclusion into the Member Development Strategy as well as for the development of scrutiny panel members; 2. to note the External Audit – Audit Plan 2020/21.	Date: November 2022 Progress: 1. Complete – added to March agenda for discussion. 2. Complete
16 January 2023 Minute 52 Chair’s Urgent Business	The Committee agreed that the Chair would write to Mr Shipperley thanking him for his service on the Committee as an Independent Member.	Complete

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<p>16 January 2023 Minute 53 External Auditor – Progress Report</p>	<p>The Committee agreed:</p> <ol style="list-style-type: none"> 1. to include the 14 point action plan and progress report on the agenda for the March 2023 Audit and Governance Committee; 2. to note the External Auditor Progress Report. 	<p>Progress: Complete – added to March agenda</p>
<p>16 January 2023 Minute 55 Mid-Term Treasury Management Report 2022/23</p>	<p>The Committee agreed:</p> <ol style="list-style-type: none"> 1. that a written response would be provided to Members upon the impact of the rise in interest rates from 2.75% to in excess of 4% on the Council's budget for the next 12 months; 2. to delegate responsibility of signing off LOBO loan repayments to the Section 151 Officer in consultation with the Chair and Vice Chair of the Audit and Governance Committee and the Cabinet Member for Finance; 3. to note the Mid-Year Treasury Management Report 2022/23 (This is to comply with the CIPFA Code of Practice and discharge our statutory requirement). 	<p>Date: January Progress: 1 – information requested 2 – complete 3 – complete</p>
<p>16 January 2023 Minute 56 Disclosure and Barring Checks for Councillors - Policy</p>	<p>The Committee agreed:</p> <ol style="list-style-type: none"> 1. that an addendum to the Disclosure and Barring Checks for Councillors Policy would be written to specify how the process for reviewing, discussing and managing DBS checks would take place – the addendum to the policy would be created in conjunction with the Audit and Governance Committee; 4. to approve the Disclosure and Barring Checks for Councillors Policy. 	<p>Date: January Progress: This is in development.</p>

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16 January 2023 Minute 57 Strategic Risk Monitoring Report Including Scrutiny Update	The Committee agreed to note the current position with regard to the Strategic Risk Register.	Date: January Progress: Complete
16 January 2023 Minute 59 Work Programme	The Committee agreed to note the work programme and include an update on the Code of Conduct Review, Officers code of conduct, and IT Policy, on the agenda for the March 2023 committee meeting.	Date: January Progress: Complete
16 January 2023 Minute 60 Electoral Cycle Consultation – Task and Finish Group	<p>The Committee agreed:</p> <ol style="list-style-type: none"> 1. that the membership of the Electoral Cycle Consultation Task and Finish Group would consist of two Labour members to be decided by that group, two Conservative members to be decided by that group, and the Independent Member of the Audit and Governance Committee, Mrs Benny; 2. the terms of reference for the Electoral Cycle Consultation Task and Finish Group. <p>The Committee agreed that the Chair, Councillor Lugger, would write to the Chief Executive, Tracey Lee, and request urgent clarity on the issues raised with regards to voter ID at future elections, with specific focus upon plans for raising awareness for Plymouth residents.</p>	Date: January Progress: Complete – a meeting has been set up for March.

Key:

Grey shaded box = action complete

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